## AP3 Rec'd PCT/PTO 31 MAY 2008

PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 12810-00257-US DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/EP2004/014209 14 December 2004 17 December 2003 TITLE OF INVENTION: METHOD FOR PRODUCING VITAMIN A ACETATE APPLICANT(S) FOR DO/EO/US: Kai M. Exner et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10 Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. х A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15 A substitute specification. 16. х A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

# IAP20 Rec'dPUTIPTO 31 MAY 2006

PTO-1390 (Rev. 07-2005)
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U.S. APPLICATION NO. (IF INOWN, See 37CFFT).58 INT			1	INTERNATIONAL APPLICATION NO. PCT/EP2004/014209		ATTORNEYS DOCKET NUMBER 12810-00257-US	
20. x Other items or information: Postcard, Certificate of Express Mail							
The following fees have been submitted						IS PTO USEONLY	
21. x Basic national fee (37 CFR 1.492(a))						00	
22. x Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							
All other situations \$200							
23. x Search fee (37 CFR 1.492(b))							
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0							
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100						00	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB							
All other situations\$500							
TOTAL OF 21, 22 and 23 =					\$ 900.0	00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)					
11 -100 = /50 =			x \$250.00	\$			
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).							
CLAIMS NUMBER FILED NUMBER EXTRA RATE							
		15 - 20 =			<del></del>	0.00	
Independent claims 1 - 3 = x  Mult TIPLE DEPENDENT CLAIM(S) (if applicable) +					0.0	00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +  TOTAL OF ABOVE CALCULATIONS =						00	
						,	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							
SUBTOTAL =						00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$		
TOTAL NATIONAL FEE =						\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property							
					\$	\$	
TOTAL FEES ENCLOSED =					= \$	900.00	
					Amount to be refunded:	\$	
					Amount to be charged	\$	

PTO-1390 (Rev. 07-2005)

Approved for use through 03/17/2007. OMB 0651-0021
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. A check in the amount of \$ to cover the above fees is enclosed. 03-2775 in the amount of \$ \_ 900.00 Please charge my Deposit Account No. to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit 03-2775 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Ashley I. Pezzner NAME CUSTOMER NUMBER: 23416 35,646 REGISTRATION NUMBER

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 12810-00257-US

### Certificate of Express Mailing Under 37 CFR 1.10

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV473527496US in an envelope addressed to:

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Registration Number, if applicable

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Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

Fee Transmittal
Application Data Sheet

Copy of the International application with search report attached English language translation of the International application Executed Combined Declaration and Power of Attorney

Preliminary Amendment

Information Disclosure Statement, SB08, with references

Postcard

Authorization to charge \$900.00 to deposit account 03-2775

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known 🖘 🙈 🙈 Effective on 12/08/2004. Not Yet Assigned Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number FEE TRANSMITTAL** Concurrently Herewith Filing Date Kai M. Exner First Named Inventor For FY 2005 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit 12810-00257-US **TOTAL AMOUNT OF PAYMENT** 900.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Connolly Bove Lodge & Hutz LLP X Deposit Account Deposit Account Number: 03-2775 Deposit Account Name: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee x Charge fee(s) indicated below Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES Small Entity **Small Entity Small Entity** Fee (\$) Fee (\$) Fees Paid (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) 200 900.00 Utility 300 150 500 250 100 Design 200 100 100 50 130 65 200 300 150 160 80 Plant 100 500 250 600 300 Reissue 300 150 0 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Multiple Dependent Claims Total Claims** Extra Claims 15 \_\_ - 20 = Fee Paid (\$) Fee (\$) Fee Paid (\$) Indep. Claims Extra Claims Fee (\$) - 3 = 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) Number of each additional 50 or fraction thereof Fee (\$) **Total Sheets** Extra Sheets 11 - 100 = \_\_\_ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00 200.00 1633 National Stage Examination Fee - all other ... 1642 National Stage Search Fee - search report ... 400.00 SUBMITTED BY Registration No. 35,646 (302) 658-9141 Telephone Signature (Attorney/Agent) Ashley I. Pezzner Date S Name (Print/Type)